

Guidelines for Identifying and Controlling Alkali Aggregate Reactions

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Background

- Alkali-Aggregate Reactivity (AAR) is a common durability issue for concrete transportation structures
- **Alkali-Silica Reaction (ASR)** most common – manifests in 5-15 years
 - A chemical reaction between alkalis in the pore solution and reactive silica in the aggregate resulting in the formation of an expansive gel and the degradation of the aggregate particle
 - Map cracking over entire slab area and accompanying expansion-related distresses (joint closure, spalling, blowups).
 - Mitigation - Use of non-susceptible aggregates, addition of pozzolans to mixture, limiting total alkalis in concrete, minimizing exposure to moisture, addition of lithium compounds



Background

- Alkali-Aggregate Reactivity (AAR) is a common durability issue for concrete transportation structures
- **Alkali-Carbonate Reaction (ACR)** not very common – manifests in 5-10 years; usually faster than ASR
 - Expansive reaction between alkalis in pore solution and certain dolomitic limestone aggregates causing dedolomitization and brucite formation
 - Map cracking over entire slab area and accompanying pressure-related distresses (spalling, blowups), less or no sign of gel.
 - Cannot be mitigated - avoid use of susceptible aggregates



ASR Distress



Stanton's Bridge (ca. 1930)



Michigan Technological University Alkali-Aggregate Reactivity (AAR) Facts Book. Thomas, M.D.A., Fournier, B., Foliard, K.J.



Fort Constitution, Battery Farnsworth, Portsmouth Harbor New Castle, New Hampshire

- Fort was built in 1897 using natural cement from Rosendale, New York, excavated coarse aggregate from the outcropping it is built into and local beach sand
- Total 7000 yd³ of concrete placed
- “Embarrassment” to the CoE due to poor workmanship and “leaking”
- **Abandoned in 1917**

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AFN 2003



AFN 2003





Stanton 1940

- Stanton found the expansion of mortar bars was influenced by:
 - The alkali content of the cement
 - The type and amount of the reactive silica in the aggregate
 - The availability of moisture
 - Temperature
- Other findings
 - Expansion was negligible when the alkali content of the cement was below 0.60% Na₂O_e
 - Expansion could be reduced by using pozzolans

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ASR Fundamentals

- Required ingredients – All are required
 - Source of alkalis
 - Reactive aggregate
 - Water
- Can be mitigated in most cases with SCMs (pozzolans or slag cement) or limiting the **alkali loading**
- Much research has been done to understand ASR

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Guide Documents

- State-of-the-Art knowledge summarized in two available guide documents
- Some differences between the two documents but both based on the same research
- Summarized in recent MAP Brief

Standard Practice for
Determining the Reactivity of Concrete Aggregates and Selecting Appropriate Measures for Preventing Deleterious Expansion in New Concrete Construction

AASHTO Designation: R 80-17¹
 Technical Section: 3c, Hardened Concrete

<https://untrans.iastate.edu/app/uploads/2020/12/MAPbriefWinter2020.pdf>



Designation: C1778 - 20

Standard Guide for
Reducing the Risk of Deleterious Alkali-Aggregate Reaction in Concrete¹

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How to Use the Guides?



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General Principles

- Alkali Loading *not* Alkali Content
- Stanton's research (1940's research) leads to the concept of "low alkali cement" which **is NOT** the important factor
- What matters is the total alkali in the concrete or alkali loading (2008 research)
- Depends on
 - Alkali content of cement
 - Amount of cement
 - Alkali content of other constituents

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General Principles

- Alkali Loading *not* Alkali Content
 - wt. % Na₂O_{eq} = (wt. % Na₂O) + (0.658 x wt. % K₂O)
 - Alkali Loading of Cement lb/yd³ [kg/m³] = Na₂O_{eq} x cement content lb/yd³ [kg/m³]
 - Limit fly ash to 4.0 wt. % Na₂O_{eq} [4.5 wt. % Na₂O_{eq} in AASHTO R 80]

Table 1. Example calculations of alkali loading for two different alkali contents and two different portland cement contents

Cement Alkali Content Na ₂ O _{eq} %	Cement Content lb/yd ³ [kg/m ³]	Concrete Alkali Loading lb/yd ³ [kg/m ³]
0.50	500 [297]	2.5 [1.5]
0.50	700 [415]	3.5 [2.1]
0.70	500 [297]	3.5 [2.1]
0.70	700 [415]	4.9 [2.9]

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General Principles

- Two approaches provided in the Guide documents to establish ASR mitigation measures
 - Performance Requirements
 - Based on experience
 - Based on testing
 - Prescriptive Requirements

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Performance Approach



Performance Requirements

- Determine aggregate reactivity
- Field History
- ASTM C1260 (AASHTO T 303)
 - 14-day mortar bar expansion
- ASTM C1293
 - 1-year concrete prism expansion

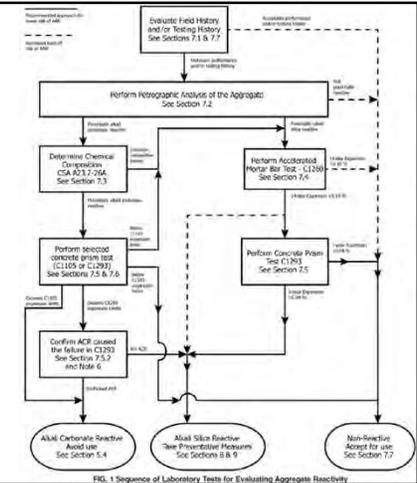


FIG. 1 Sequence of Laboratory Tests for Evaluating Aggregate Reactivity

Performance Requirements

- Determine aggregate reactivity
- Field History
- ASTM C1260 (AASHTO T 303)
 - 14-day mortar bar expansion
- ASTM C1293
 - 1-year concrete prism expansion

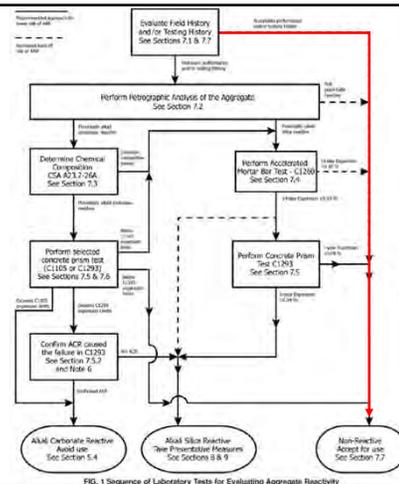


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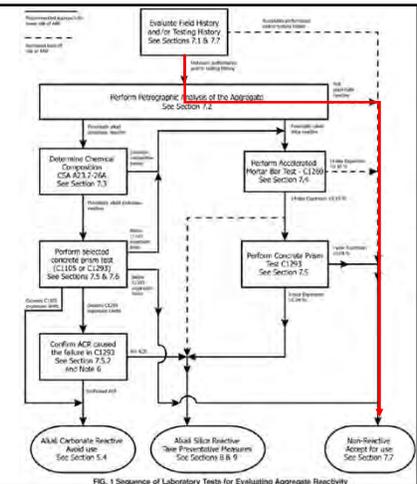
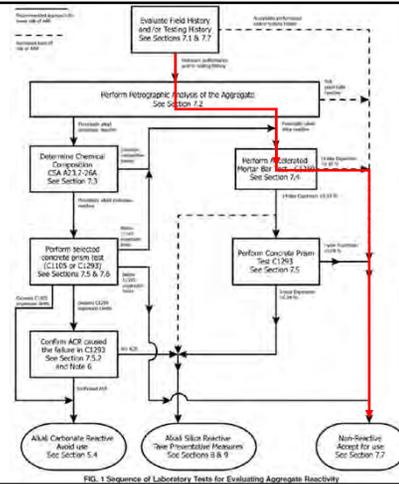


FIG. 1 Sequence of Laboratory Tests for Evaluating Aggregate Reactivity

Performance Requirements

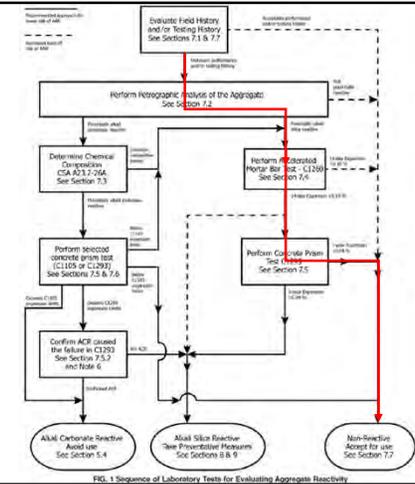
- Determine aggregate reactivity
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 - 14-day mortar bar expansion
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 - 1-year concrete prism expansion



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Performance Requirements

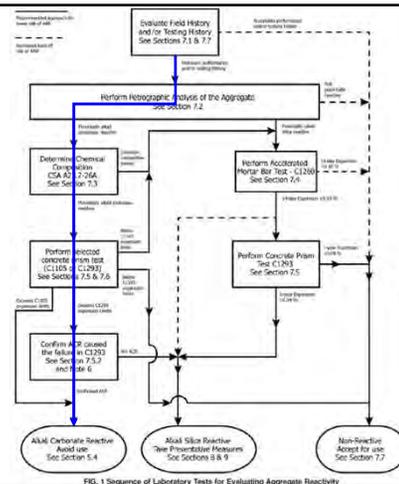
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 - 1-year concrete prism expansion



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Performance Requirements

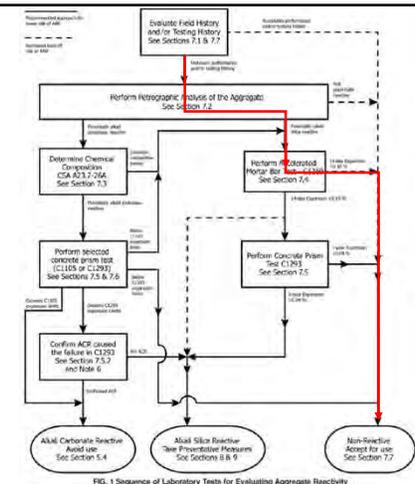
- Determine aggregate reactivity
- Field History
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 - 14-day mortar bar expansion
- ASTM C1293
 - 1-year concrete prism expansion



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Performance Requirements

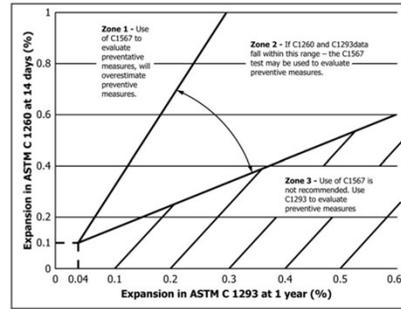
- Determine aggregate reactivity
- Field History
- ASTM C1260 (AASHTO T 303)
 - 14-day mortar bar expansion
- ASTM C1293
 - 1-year concrete prism expansion



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Performance Requirements

- Establish the correlation between ASTM C1260 (AASHTO T 303) and ASTM C1293 for any aggregate source before relying on ASTM C1260 (AASHTO T 303) alone or using ASTM C1567



Performance Requirements

- Select Preventative Measures
 - Replace cement with SCMs in varying amounts
 - ASTM C1567
 - 14-day mortar bar expansion [$\leq 0.10\%$ @ 14 days]
 - Requires correlation between ASTM C1260 [AASHTO T 303] and ASTM C1293
 - ASTM C1293
 - 2-year concrete prism expansion [$\leq 0.04\%$ @ 2 years]



Prescriptive Approach



Prescriptive Requirements

- Determine aggregate reactivity (R0 – R3)
- Determine the Level of ASR Risk (Level 1 – 6)
- Determine Structure Class (SC1 – SC4)
- Determine Level of Prevention (V – ZZ)

TABLE 1 Classification of Aggregate Reactivity

Aggregate-Reactivity Class	Description of Aggregate Reactivity	1-Year Expansion in Test Method C1293, %	14-Day Expansion in Test Method C1260, %
R0	Non-reactive	<0.04	<0.10
R1	Moderately reactive	$\geq 0.04, <0.12$	$\geq 0.10, <0.30$
R2	Highly reactive	$\geq 0.12, <0.24$	$\geq 0.30, <0.45$
R3	Very highly reactive	≥ 0.24	≥ 0.45



Prescriptive Requirements

- Determine aggregate reactivity (R0 – R3)
- Determine the Level of ASR Risk (Level 1 – 6)
- Determine Structure Class (SC1 – SC4)
- Determine Level of Prevention (V – ZZ)

TABLE 2 Determining the Level of ASR Risk

Size and Exposure Conditions	Aggregate-Reactivity Class			
	R0	R1	R2	R3
Non-massive ^a concrete in a dry ^b environment	Level 1	Level 1	Level 2	Level 3
Massive ^a elements in a dry ^b environment	Level 1	Level 2	Level 3	Level 4
All concrete exposed to humid air, buried or immersed	Level 1	Level 3	Level 4	Level 5
All concrete exposed to alkalis in service ^c	Level 1	Level 4	Level 5	Level 6



Prescriptive Requirements

- Determine aggregate reactivity (R0 – R3)
- Determine the Level of ASR Risk (Level 1 – 6)
- Determine Structure Class (SC1 – SC4)
- Determine Level of Prevention (V – ZZ)

TABLE 3 Structures Classified on Basis of the Severity of Consequences Should ASR Occur (Modified for Highway Structures from RILEM TC 191-ARP)

Class	Consequence of ASR	Acceptability of ASR	Examples ^d
Class SC1	Safety, economic, or environmental consequences small or negligible	Some deterioration from ASR may be tolerated	Non-load-bearing elements inside buildings Concrete elements not exposed to moisture Temporary structures (service life < 5 years)
Class SC2	Some safety, economic, or environmental consequences if major deterioration	Moderate risk of ASR is acceptable	Sidewalks, curbs, and gutters Elements with service life < 40 years
Class SC3	Significant safety, economic, or environmental consequences if minor damage	Minor risk of ASR may be acceptable	Pavements Foundations elements Retaining walls Culverts Highway barriers Rural, low-volume roads Precast elements in which economic costs of replacement are severe Service life normally 40 to 74 years
Class SC4	Serious safety, economic, or environmental consequences if minor damage	ASR cannot be tolerated	Major bridges Power plants Dams Nuclear facilities Water treatment facilities Waste water treatment facilities Tunnels Critical elements that are very difficult to inspect or repair Service life normally ≥75 years



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Class SC2	Some safety, economic, or environmental consequences if major deterioration	Moderate risk of ASR is acceptable	Sidewalks, curbs, and gutters Elements with service life < 40 years
Class SC3	Significant safety, economic, or environmental consequences if minor damage	Minor risk of ASR may be acceptable	Pavements Foundations elements Retaining walls Culverts Highway barriers Rural, low-volume roads Precast elements in which economic costs of replacement are severe Service life normally 40 to 74 years
Class SC4	Serious safety, economic, or environmental consequences if minor damage	ASR cannot be tolerated	Major bridges Power plants Dams Nuclear facilities Water treatment facilities Waste water treatment facilities Tunnels Critical elements that are very difficult to inspect or repair Service life normally ≥75 years



Prescriptive Requirements

- Determine aggregate reactivity (R0 – R3)
- Determine the Level of ASR Risk (Level 1 – 6)
- Determine Structure Class (SC1 – SC4)
- Determine Level of Prevention (V – ZZ)

TABLE 4 Determining Level of Prevention

Level of ASR Risk (Table 2)	Classification of Structure (Table 3)			
	Class SC1	Class SC2	Class SC3	Class SC4
Risk Level 1	V	V	V	V
Risk Level 2	V	V	W	X
Risk Level 3	V	W	X	Y
Risk Level 4	W	X	Y	Z
Risk Level 5	X	Y	Z	ZZ
Risk Level 6	Y	Z	ZZ	A



Prescriptive Requirements

- Select Preventative Measures
 - Based on Alkali Loading
 - Based on use of SCMs

TABLE 5 Maximum Alkali Loadings to Provide Various Levels of Prevention

Prevention Level	Maximum Alkali Loading of Concrete	
	kg/m ³	lb/yd ³
V	No Limit	
W	3.0	5.0
X	2.4	4.0
Y	1.8	3.0
Z ^A	Table 8	
ZZ ^A		

^A SCMs may be used in Prevention Levels Z and ZZ.



Prescriptive Requirements

- Select Preventative Measures
 - Based on Alkali Loading
 - Based on use of SCMs

TABLE 6 Minimum Levels of SCM to Provide Appropriate Level of Prevention

Type of SCM ^A	Alkali Content of SCM (% Na ₂ O _{eq})	Minimum Replacement Level ^B (% by mass)				Level ZZ
		Level W	Level X	Level Y	Level Z	
Fly ash ^B (CaO > 18 %)	<3.0	15	20	25	35	Table 8
	3.0 – 4.0	20	25	30	40	
Slag Cement	<1.0	25	35	50	65	Table 8
	>1.0	2.0 × KGA or 1.2 × LBA	2.5 × KGA or 1.5 × LBA	3.0 × KGA or 1.8 × LBA	4.0 × KGA or 2.5 × LBA	
Silica Fume ^C (SiO ₂ > 85 %)	<1.0	9				Table 8

^A The SCM may be added directly to the concrete mixer or it may be a component of a blended cement. Fly ash should meet the requirements of Specification C918, slag cement should meet the specifications of C989/C989M, and silica fume should meet the requirements of Specification C1240. Blended cements should meet the requirements of Specification C595/C595M or C1157/C1157M.
^B Fly ashes with greater than 18 % CaO can mitigate ASR. The efficacy of these higher calcium fly ashes should be evaluated using the performance-based testing outlined in 8.2 and 8.3.
^C The minimum level of silica fume (as a percentage of cementing material) is calculated on the basis of the alkali loading of the concrete expressed in either units of kg/m³ (KGA) or lb/yd³ (LBA). Regardless of the calculated value, the minimum level of silica fume may not be less than 7 % when it is the only method of prevention.
^D Note—the use of high levels of SCM in concrete may increase the risk of problems as a result of deicer salt scaling if the concrete is not properly proportioned, finished, and cured.



Prescriptive Requirements

- Select Preventative Measures

TABLE 6 Minimum Levels of SCM to Provide Appropriate Level of Prevention

Type of SCM ^A	Alkali Content of SCM (% Na ₂ O _{eq})	Minimum Replacement Level ^B (% by mass)				Level ZZ
		Level W	Level X	Level Y	Level Z	
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	3.0 – 4.0	20	25	30	40	
Slag Cement	<1.0	25	35	50	65	Table 8
	>1.0	2.0 × KGA or 1.2 × LBA	2.5 × KGA or 1.5 × LBA	3.0 × KGA or 1.8 × LBA	4.0 × KGA or 2.5 × LBA	
Silica Fume ^C (SiO ₂ > 85 %)	<1.0	9				Table 8

^A The SCM may be added directly to the concrete mixer or it may be a component of a blended cement. Fly ash should meet the requirements of Specification C618, slag cement should meet the specifications of C989/C989M, and silica fume should meet the requirements of Specification C1240. Blended cements should meet the requirements of Specification C595/C595M or C1157/C1157M.
^B Fly ashes with greater than 18 % CaO can mitigate ASR. The efficacy of these higher calcium fly ashes should be evaluated using the performance-based testing outlined in 8.2 and 8.3.
^C The minimum level of silica fume (as a percentage of cementing material) is calculated on the basis of the alkali loading of the concrete expressed in either units of kg/m³ (KGA) or lb/yd³ (LBA). Regardless of the calculated value, the minimum level of silica fume may not be less than 7 % when it is the only method of prevention.
^D Note—the use of high levels of SCM in concrete may increase the risk of problems as a result of deicer salt scaling if the concrete is not properly proportioned, finished, and cured.



Summary

- Key Point: Alkali loading vs. alkali content
- Two approaches to prevention
 - Performance
 - Prescriptive
- All cases – need to know the aggregate reactivity
- Use tests as they were designed – modifications skew results
- Preventative measures include avoiding the aggregate but when not practicable, limit the alkali loading, use SCMs, or both
 - Cannot test for the effect of limiting alkali loading



**Questions at the
End of the Webinar**

or

Feel free to contact me

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PennDOT: Implementing AASHTO R 80

PATRICIA BAER
PENNDOT
BUREAU OF PROJECT DELIVERY
CONSTRUCTION AND MATERIALS DIVISION

History:

In 1990, cores were taken from I-84.

- The pavement was 12 years old and exhibited cracking and centerline deterioration.
- Earliest discovery of ASR on a Department owned pavement.

In 1991 Department tested several aggregates

Results showed a potential for highly reactive aggregates
A testing program was discussed with the aggregate industry
Started testing all aggregates in 1992
Tested aggregate using AASHTO T 303

Results:

464 aggregates – 75% had expansion test results over 0.10% linear expansion

Background of situation that prompted the recent change:

Significant ASR deterioration identified in pavement structures

- Districts 4, 6 and 8 (to date)
- Mix designs contained aggregates which were not identified as 'reactive', concrete placed after 1992.
- One Example (AASHTO T-303 expansion values)
 - FA Type A: 0.08%
 - CA #57: 0.01%
- Other Districts have reported preventive maintenance; overlays on concrete pavements less than 10 years old where distress likely was attributable to ASR however no forensic investigation was performed prior to repair and reconstruction.



What we did:

Who's been involved in the process – Pro-team

Short Term solution – Standard Special Provision

Long Term solution

- AASHTO R 80
 - Review of the prescriptive approach
 - Basis for future specification developments

Pro-team



Pro-team developed

- September 5th, 2013 'kick off meeting'

Industry (PACA – ACPA – CABA/PPA)

- PennDOT Central Office, BOMO and District staff
- FHWA
 - Lead ASR researchers made available
 - Dr. Michael Thomas – Univ. of New Brunswick participated in the first meeting
 - Dr. Rogers – University Laval, Quebec – ASTM C-1293 evaluation assistance for 3rd party testing using Spratt aggregate

Stop Gap Measure - What was considered?

Risk of continuing with our current aggregate testing and ASR remediation is considered too high

- Need to protect future assets!

Most of our aggregates are already considered reactive and when used, remediation required.

Inability to identify aggregates solely via petrographic examination as 'reactive' or 'non-reactive'

Impacts to industry (SCM availability)

Decision – Mitigate all mixtures

Consider all aggregates as reactive until the latest research and remediation strategies can be implemented

- Stop Gap Measure
- Will require more SCM's for use by industry
 - Survey conducted of flyash and GGBFS producers
 - Industry indicated they have sufficient SCM's available for this interim measure.

This was short term while all aggregate sources were tested.

Aggregate Evaluation

Letter drafted for Type A aggregate sources

Will allow for their choice of four independent labs

- National Ready Mix Concrete Association
- Concrete Testing Laboratory
- American Engineering Technology
- Bowser-Morner

Coordination with independent labs to make sure everyone was testing the same.

Provided guidance on sample sizes, coordination with District and sample custody

Sources advised that failure to perform testing would result in loss of use in cement concrete when further specification revisions made

Aggregate Evaluation(continued)

Conduct concrete prism testing (ASTM C1293) on aggregates.

- Industry and PennDOT to perform testing initially on aggregate sources with T-303 expansions less than or equal to 0.15% a first phase of implementation.
- The rest of the sources were tested the following year.

The Department purchased a warm room to begin evaluation of aggregates. We took random samples of aggregates sent to the private labs to conduct in house evaluations also.

The testing went well with the independent labs.

AASHTO R 80:

Protocol for Alkali Aggregate Reactivity

- ASR and ACR
- Selecting preventive measures for ASR reactive aggregates
 - Two approaches for ASR prevention:
 - Prescriptive approach – Involves a number of factors and decision-based methods. This was used for our specification.
 - Performance approach – Based on laboratory testing of the aggregates, SCM's or lithium nitrates used to determine the amount required to control deleterious expansion.
 - Involves a 2-year duration concrete prism test
 - Several sources have opted to do this after getting their initial test results (ASTM C 1293)
 - Looking at field performance as possible approach to how an aggregate performs

PennDOT Specification:

All fine and coarse aggregates for use in concrete were tested according to ASTM C 1293

New sources that want to be used in concrete will be tested according to AASHTO T 303 and ASTM C 1293.

- The Department has purchased an additional warm rooms. We have the capacity to test 100 samples.
- The AASHTO T 303 test result will be used for mitigation requirements until the ASTM C 1293 is finished
- Any new source with an expansion that indicates the aggregate is non-reactive (R0) will initially be listed with an expansion of 0.11% (R1) requiring ASR mitigation until ASTM C 1293 is completed.

A source may opt to do mixture qualification to determine the amount of pozzolan, metakaolin or lithium needed to mitigate.

- This is a two year test (ASTM C 1293).
- If the expansion of the concrete prism is less than 0.04% after two years, the preventive measure will be deemed effective with the reactive aggregate(s)

PennDOT Specification:

Prescriptive Approach: The Pro-Team made some minor changes to the tables in R 80

1. Classification of Aggregate Reactivity :

Aggregate Reactivity Class	Description of Aggregate Reactivity	1-Year Expansion in ASTM C-1293 (percent)	14-4 Expansion in AASHTO T-303 (percent)
R0	Non-reactive	≤ 0.04	≤ 0.10
R1	Moderately reactive	>0.04, ≤ 0.12	>0.10, ≤ 0.30
R2	Highly Reactive	>0.12, ≤0.24	>0.30, ≤0.45
R3	Very Highly Reactive	>0.24	>0.45

PennDOT Specification:

2. Level of ASR Risk: PennDOT Specification

R0	R1	R2	R3
Risk Level 1	Risk Level 2	Risk Level 3	Risk Level 4

Level of ASR Risk: R 80

Table 2. Determining the level of ASR risk.

Size and exposure conditions	Aggregate-Reactivity Class			
	R0	R1	R2	R3
Non-massive ² concrete in a dry ³ environment	Level 1	Level 1	Level 2	Level 3
Massive ² elements in a dry ³ environment	Level 1	Level 2	Level 3	Level 4
All concrete exposed to humid air, buried or immersed	Level 1	Level 3	Level 4	Level 5
All concrete exposed to alkalis in service ⁴	Level 1	Level 4	Level 5	Level 6

PennDOT Specification:

3. Determining the Level of Prevention: PennDOT Specification

Level of ASR Risk	Classification of Structure		
	S1	S2	S3
Risk Level 1	V	V	V
Risk Level 2	V	W	X
Risk Level 3	W	X	Y
Risk Level 4	X	Y	Z

Determining the Level of Prevention: R 80

Table 3. Determining the level of prevention.

Level of ASR Risk (Table 2)	Classification of Structure (Table 4)			
	S1	S2	S3	S4
Risk Level 1	V	V	V	V
Risk Level 2	V	V	W	X
Risk Level 3	V	W	X	Y
Risk Level 4	W	X	Y	Z
Risk Level 5	X	Y	Z	ZZ
Risk Level 6	Y	Z	ZZ	YY

^{YY} It is not permitted to construct a Class S4 structure (see Table 4) when the risk of ASR is Level 6. Measures must be taken to reduce the level of risk in these circumstances.

PennDOT Specification:

4. Structure Classification: R 80

Table 4. Structures classified on the basis of the severity of the consequences should ASR occur (modified for highway structures from RILEM TC 191-ARP).

Class	Consequences of ASR	Acceptability of ASR	Example ^a
S1	Safety, economic, or environmental consequences small or negligible	Some deterioration from ASR may be tolerated	<ul style="list-style-type: none"> Non-load-bearing elements inside buildings Temporary structures (e.g., < 5 years)
S2	Some safety, economic, or environmental consequences if major deterioration	Moderate risk of ASR is acceptable	<ul style="list-style-type: none"> Sidewalks, curbs, and gutters Service life = 40 years
S3	Significant safety, economic, or environmental consequences if major damage	Minor risk of ASR acceptable	<ul style="list-style-type: none"> Pavements Cliverts Highway barriers Road, low-volume bridges Large numbers of precast elements where economic costs of replacement are severe Service life normally 40 to 75 years
S4	Severe safety, economic, or environmental consequences if major damage	ASR cannot be tolerated	<ul style="list-style-type: none"> Major bridges Tunnels Critical elements that are very difficult to inspect or repair Service life normally > 75 years

PennDOT Specification:

4. Structure classification:

PennDOT Specification

Structure Class	Consequences	Acceptability of ASR	Structure/Asset type	Publication 408 Sections
S1	Safety and future maintenance consequences small or negligible	Some deterioration from ASR may be tolerated	Temporary structures. Inside buildings. Structures or assets that will never be exposed to water	627, 628, 621, 624, 627, 628, 643, 644, 859, 874, 930, 932, 934, 952, 953, 1005
S2	Some minor safety, future maintenance consequences if major deterioration were to occur	Moderate risk of ASR acceptable	Sidewalks, curbs and gutters, inlet tops, concrete barrier and parapet. Typically structures with service lives of less than 40 years	303, 501, 505, 506, 516, 518, 523, 524, 525, 528, 540, 545, 605, 607, 615, 618, 622, 623, 630, 633, 640, 641, 658, 667, 910, 948, 951, 1025, 1041, 1040, 1042, 1043, 1086, 1201, 1210, 1216
S3	Significant safety and future maintenance or replacement consequences if major deterioration were to occur	Minimal risk of ASR acceptable	All other structures. Service lives of 40 to 75 years anticipated.	Miscellaneous Precast Concrete 530, 1001, 1006, 1031, 1032, 1040, 1080, 1085, 1107, MSE walls, Concrete Bridge components and Arch Structures

PennDOT Specification:

5. Minimum Levels of Supplementary Cementitious Materials: PennDOT Specification

Table G:

Type of SCM ⁽¹⁾	Alkali Level of SCM (%Na ₂ O _e) ⁽²⁾	Level V ⁽⁴⁾	Level W	Level X	Level Y	Level Z ^{(6) (3)}
Class F or C flyash ⁽⁶⁾	≤ 3.0	-	15	20	25	35
Class F or C flyash ⁽⁶⁾	>3.0, ≤ 4.5	-	20	25	30	40
GGBFS	≤ 1.0	-	25	35	50	65
Silica Fume ^{(7) (8) (9)}	≤ 1.0	-	1.2 LBA	1.5 x LBA	1.8 x LBA	2.4 x LBA

PennDOT Specification:

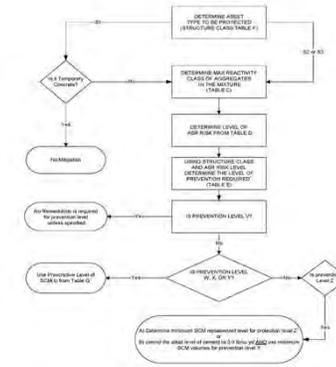


Table 1: The probationary level may be reduced by one level if an ASR test result (1) is used.

Example #1 – using draft specification

Step #1:

Using a coarse aggregate with a reactivity of 0.18% and a fine aggregate with a reactivity of 0.03%

- According to Table C:

Aggregate Reactivity Class	Description of Aggregate Reactivity	1-Year Expansion in ASTM C-1293 (percent)	14-4 Expansion in AASHTO T-303 (percent)
R0	Non-reactive	≤ 0.04	≤ 0.10
R1	Moderately reactive	>0.04, ≤ 0.12	>0.10, ≤ 0.30
R2	Highly Reactive	>0.12, ≤ 0.24	>0.30, ≤ 0.45
R3	Very Highly Reactive	>0.24	>0.45

- The coarse aggregate is a R2 reactivity class.
- The fine aggregate is non reactive or R0.
- For mix designs use the highest reactivity level of any aggregates used.

Example #1 continued

Step #2:

The next step is to figure out the level of ASR risk

- According to Table D: Aggregate Reactivity Class

R0	R1	R2	R3
Risk Level 1	Risk Level 2	Risk Level 3	Risk Level 4

- This aggregate would be at a Risk Level 3

Example #1 continued

Step #3:

Determine Level of prevention. The structure classification needs to be known in order to determine the level of prevention.

- See Table F:

If this mix design was for concrete paving under section 506, then the structure class would be S2.

If this mix design was for LLCP- long life concrete pavement under section 530, then the structure class would be S3.

Structure Class	Consequences	Acceptability of ASR	Structure Asset type	Pavement 401 Section
S1	Safety and future maintenance consequences small or negligible	Some deterioration from ASR may be tolerated	Temporary structures, Inade backlogs, Structures or assets that will never be exposed to water	627, 628, 629, 634, 641, 642, 643, 644, 645, 646, 647, 648, 649, 650, 651, 652, 653, 654, 655, 656, 657, 658, 659, 660, 661, 662, 663, 664, 665, 666, 667, 668, 669, 670, 671, 672, 673, 674, 675, 676, 677, 678, 679, 680, 681, 682, 683, 684, 685, 686, 687, 688, 689, 690, 691, 692, 693, 694, 695, 696, 697, 698, 699, 700, 701, 702, 703, 704, 705, 706, 707, 708, 709, 710, 711, 712, 713, 714, 715, 716, 717, 718, 719, 720, 721, 722, 723, 724, 725, 726, 727, 728, 729, 730, 731, 732, 733, 734, 735, 736, 737, 738, 739, 740, 741, 742, 743, 744, 745, 746, 747, 748, 749, 750, 751, 752, 753, 754, 755, 756, 757, 758, 759, 760, 761, 762, 763, 764, 765, 766, 767, 768, 769, 770, 771, 772, 773, 774, 775, 776, 777, 778, 779, 780, 781, 782, 783, 784, 785, 786, 787, 788, 789, 790, 791, 792, 793, 794, 795, 796, 797, 798, 799, 800, 801, 802, 803, 804, 805, 806, 807, 808, 809, 810, 811, 812, 813, 814, 815, 816, 817, 818, 819, 820, 821, 822, 823, 824, 825, 826, 827, 828, 829, 830, 831, 832, 833, 834, 835, 836, 837, 838, 839, 840, 841, 842, 843, 844, 845, 846, 847, 848, 849, 850, 851, 852, 853, 854, 855, 856, 857, 858, 859, 860, 861, 862, 863, 864, 865, 866, 867, 868, 869, 870, 871, 872, 873, 874, 875, 876, 877, 878, 879, 880, 881, 882, 883, 884, 885, 886, 887, 888, 889, 890, 891, 892, 893, 894, 895, 896, 897, 898, 899, 900, 901, 902, 903, 904, 905, 906, 907, 908, 909, 910, 911, 912, 913, 914, 915, 916, 917, 918, 919, 920, 921, 922, 923, 924, 925, 926, 927, 928, 929, 930, 931, 932, 933, 934, 935, 936, 937, 938, 939, 940, 941, 942, 943, 944, 945, 946, 947, 948, 949, 950, 951, 952, 953, 954, 955, 956, 957, 958, 959, 960, 961, 962, 963, 964, 965, 966, 967, 968, 969, 970, 971, 972, 973, 974, 975, 976, 977, 978, 979, 980, 981, 982, 983, 984, 985, 986, 987, 988, 989, 990, 991, 992, 993, 994, 995, 996, 997, 998, 999, 1000
S2	Some future safety, future maintenance consequences if major deterioration were to occur	Minimal risk of ASR acceptable	Subgrade, curbs and gutters, side laps, concrete barriers and pumps. Typically structures with service lives of less than 40 years	801, 802, 803, 804, 805, 806, 807, 808, 809, 810, 811, 812, 813, 814, 815, 816, 817, 818, 819, 820, 821, 822, 823, 824, 825, 826, 827, 828, 829, 830, 831, 832, 833, 834, 835, 836, 837, 838, 839, 840, 841, 842, 843, 844, 845, 846, 847, 848, 849, 850, 851, 852, 853, 854, 855, 856, 857, 858, 859, 860, 861, 862, 863, 864, 865, 866, 867, 868, 869, 870, 871, 872, 873, 874, 875, 876, 877, 878, 879, 880, 881, 882, 883, 884, 885, 886, 887, 888, 889, 890, 891, 892, 893, 894, 895, 896, 897, 898, 899, 900, 901, 902, 903, 904, 905, 906, 907, 908, 909, 910, 911, 912, 913, 914, 915, 916, 917, 918, 919, 920, 921, 922, 923, 924, 925, 926, 927, 928, 929, 930, 931, 932, 933, 934, 935, 936, 937, 938, 939, 940, 941, 942, 943, 944, 945, 946, 947, 948, 949, 950, 951, 952, 953, 954, 955, 956, 957, 958, 959, 960, 961, 962, 963, 964, 965, 966, 967, 968, 969, 970, 971, 972, 973, 974, 975, 976, 977, 978, 979, 980, 981, 982, 983, 984, 985, 986, 987, 988, 989, 990, 991, 992, 993, 994, 995, 996, 997, 998, 999, 1000
S3	Significant safety risk future maintenance or replacement consequences if major deterioration were to occur	Minimal risk of ASR acceptable	All other structures, Structures with service lives of 40 to 75 years anticipated	501, 502, 503, 504, 505, 506, 507, 508, 509, 510, 511, 512, 513, 514, 515, 516, 517, 518, 519, 520, 521, 522, 523, 524, 525, 526, 527, 528, 529, 530, 531, 532, 533, 534, 535, 536, 537, 538, 539, 540, 541, 542, 543, 544, 545, 546, 547, 548, 549, 550, 551, 552, 553, 554, 555, 556, 557, 558, 559, 560, 561, 562, 563, 564, 565, 566, 567, 568, 569, 570, 571, 572, 573, 574, 575, 576, 577, 578, 579, 580, 581, 582, 583, 584, 585, 586, 587, 588, 589, 590, 591, 592, 593, 594, 595, 596, 597, 598, 599, 600, 601, 602, 603, 604, 605, 606, 607, 608, 609, 610, 611, 612, 613, 614, 615, 616, 617, 618, 619, 620, 621, 622, 623, 624, 625, 626, 627, 628, 629, 630, 631, 632, 633, 634, 635, 636, 637, 638, 639, 640, 641, 642, 643, 644, 645, 646, 647, 648, 649, 650, 651, 652, 653, 654, 655, 656, 657, 658, 659, 660, 661, 662, 663, 664, 665, 666, 667, 668, 669, 670, 671, 672, 673, 674, 675, 676, 677, 678, 679, 680, 681, 682, 683, 684, 685, 686, 687, 688, 689, 690, 691, 692, 693, 694, 695, 696, 697, 698, 699, 700, 701, 702, 703, 704, 705, 706, 707, 708, 709, 710, 711, 712, 713, 714, 715, 716, 717, 718, 719, 720, 721, 722, 723, 724, 725, 726, 727, 728, 729, 730, 731, 732, 733, 734, 735, 736, 737, 738, 739, 740, 741, 742, 743, 744, 745, 746, 747, 748, 749, 750, 751, 752, 753, 754, 755, 756, 757, 758, 759, 760, 761, 762, 763, 764, 765, 766, 767, 768, 769, 770, 771, 772, 773, 774, 775, 776, 777, 778, 779, 780, 781, 782, 783, 784, 785, 786, 787, 788, 789, 790, 791, 792, 793, 794, 795, 796, 797, 798, 799, 800, 801, 802, 803, 804, 805, 806, 807, 808, 809, 810, 811, 812, 813, 814, 815, 816, 817, 818, 819, 820, 821, 822, 823, 824, 825, 826, 827, 828, 829, 830, 831, 832, 833, 834, 835, 836, 837, 838, 839, 840, 841, 842, 843, 844, 845, 846, 847, 848, 849, 850, 851, 852, 853, 854, 855, 856, 857, 858, 859, 860, 861, 862, 863, 864, 865, 866, 867, 868, 869, 870, 871, 872, 873, 874, 875, 876, 877, 878, 879, 880, 881, 882, 883, 884, 885, 886, 887, 888, 889, 890, 891, 892, 893, 894, 895, 896, 897, 898, 899, 900, 901, 902, 903, 904, 905, 906, 907, 908, 909, 910, 911, 912, 913, 914, 915, 916, 917, 918, 919, 920, 921, 922, 923, 924, 925, 926, 927, 928, 929, 930, 931, 932, 933, 934, 935, 936, 937, 938, 939, 940, 941, 942, 943, 944, 945, 946, 947, 948, 949, 950, 951, 952, 953, 954, 955, 956, 957, 958, 959, 960, 961, 962, 963, 964, 965, 966, 967, 968, 969, 970, 971, 972, 973, 974, 975, 976, 977, 978, 979, 980, 981, 982, 983, 984, 985, 986, 987, 988, 989, 990, 991, 992, 993, 994, 995, 996, 997, 998, 999, 1000

Example #1 continued

Step #4: Let's say the design is for concrete pavement (RPS – section 506)

- The Structure Classification would be S2
- From Table E – Determining the level of prevention

Level of ASR Risk	Classification of Structure		
	S1	S2	S3
Risk Level 1	V	V	V
Risk Level 2	V	W	X
Risk Level 3	W	X	Y
Risk Level 4	X	Y	Z

- With a Risk Level of 3 and a S2 classification, this mix needs a prevention level X

Example #1 continued

Step #5:

- Let's say we are going to pozzolan to mitigate for ASR.
- See Table G for the minimum replacement levels

Type of SCM (B)	Alkali Level of SCM (% Na2Oe) (B)(1)	Level v (B)	Level W	Level X	Level Y	Level Z (B)(10)
Class F or C Flyash (B)	≤ 3.0	-	15	20	25	35
Class F or C Flyash (B)	>3.0, ≤ 4.5	-	20	25	30	40
GGBS	≤ 1.0	-	25	35	50	65
Silica Fume (B)(10)	≤ 1.0	-	1.2 LBA	1.5 x LBA	1.8 x LBA	2.4 x LBA

- The mix needs a Level X replacement so the pozzolan replacement levels would be:
 - 20% for a Class F or C flyash with an alkali level of 3.0% or less
 - 25% for a Class F or C flyash with an alkali level greater than 3.0% or less than or equal to 4.5%
 - 35% for GGBFS
 - 1.5 x LBA for Silica Fume but not less than 7%

Example #2 – using draft specification

Step #1:

Using a coarse aggregate with a reactivity of 0.10% and fine aggregate with a reactivity of 0.06%

- According to Table C:

Aggregate Reactivity Class	Description of Aggregate Reactivity	1-Year Expansion in ASTM C-1293 (percent)	14-d Expansion in AASHTO T-303 (percent)
R0	Non-reactive	≤ 0.04	≤ 0.10
R1	Moderately reactive	>0.04, ≤ 0.12	>0.10, ≤ 0.20
R2	Highly Reactive	>0.12, ≤ 0.24	>0.20, ≤ 0.45
R3	Very Highly Reactive	>0.24	>0.45

- Both aggregates are a R1 reactivity class.

ASTM C 1293

Results as of August 2017:

Currently, 36% of our aggregates are reactive compared to 75% prior to starting the ASTM C 1293 testing

Reactivity Level	Number of Aggregates
R0	240
R1	99
R2	33
R3	2

Issues:

Pilot projects were implemented on sidewalks and sections of pavement.

- Mitigations levels of X, Y and Z were placed (using flyash and slag cement)
- No noticeable differences on the pavement
- Premature wearing of the hand finished sidewalks were noticed
 - Issues noticed on some sidewalks not involved with the pilot projects
 - Investigation determined that it was a lack of proper curing
- Department is making some changes to address this issue
 - Classify sidewalks as S1 instead of S2 in our specification
 - Program to certify concrete finishers and train construction inspection staff
 - Sidewalk specification is being drafted

Next Steps:

Developed a five year cycle for testing

Currently in the first year of the next cycle of testing

Department and Industry are still evaluating and looking at new test methods that are being developed.

Continue Review of on-going research (mini-concrete prism test, alternate SCM's etc.).

Identify additional ASR affected assets and document using AASHTO ASR inventory tool.

Contact Information:

Patricia Baer

- PennDOT Materials and Testing Lab
- Email: patrbaer@pa.gov

Initial Industry Concerns

Aggregate and Concrete Producers of PA

An Increase in levels of mitigation would bring:

- An Increase in Scaling
- Strength Gain Issues
- Reduction in Aggregate Availability

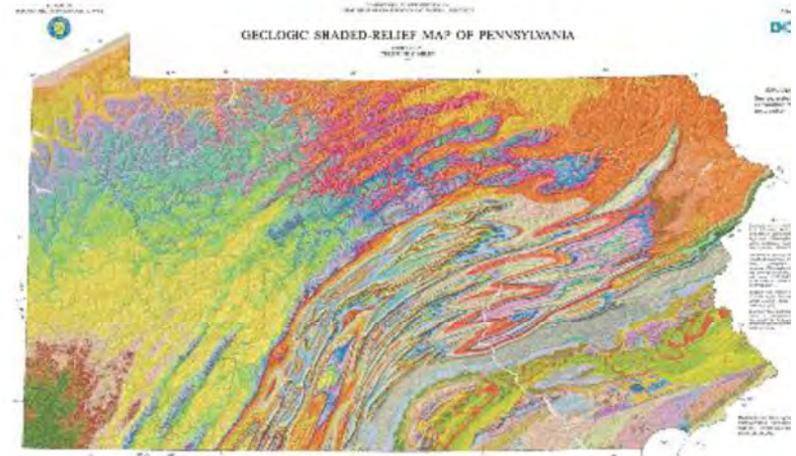
PennDOT / Industry Proteam

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Pennsylvania geology

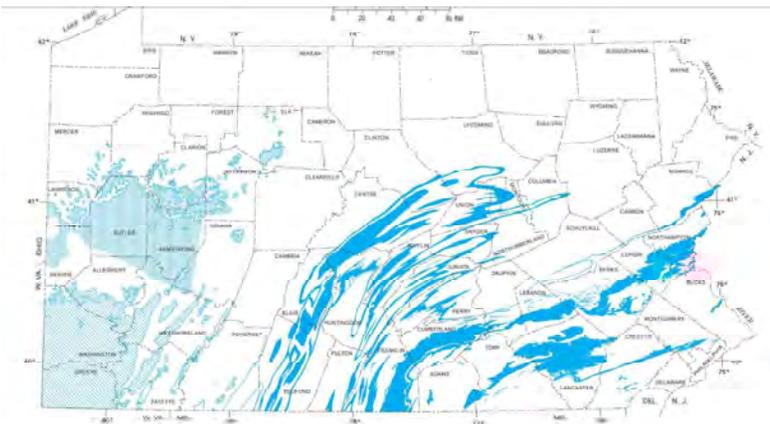


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We are a Limestone State



EXPLANATION

Areas where limestone, dolomite, or both are at the surface. Layers are usually strongly folded and widely dipping. Includes economically important high-calcium limestone of the Keweenaw, Allegheny, Berne, and Kipton Formations and the Conococheague Member, as well as the high-magnesium dolomites of the Ledger Formation and the Conococheague Member. This area is most susceptible to acid rain damage.

Areas underlain by the generally fast-lying Pennsylvania. Direct limestone, high-calcium limestone. This limestone is generally composed of less than 5% acid equivalent nickel, except in the southern part of the area.

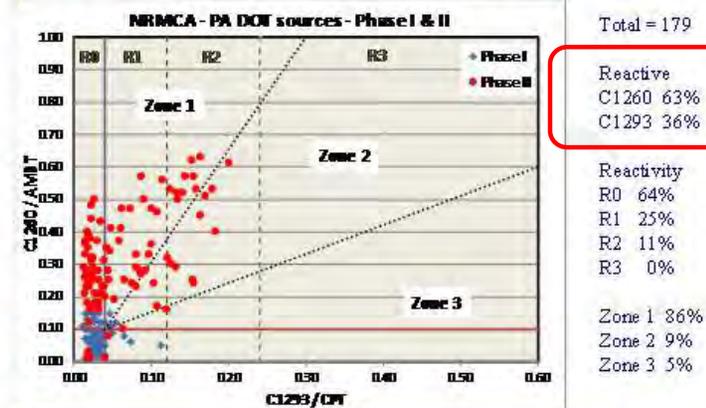
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PA Results C1293 vs C1260

ASTM C1778—Performance Approach (PA Aggregates)



C1260 - PA DOT Bulletin 14

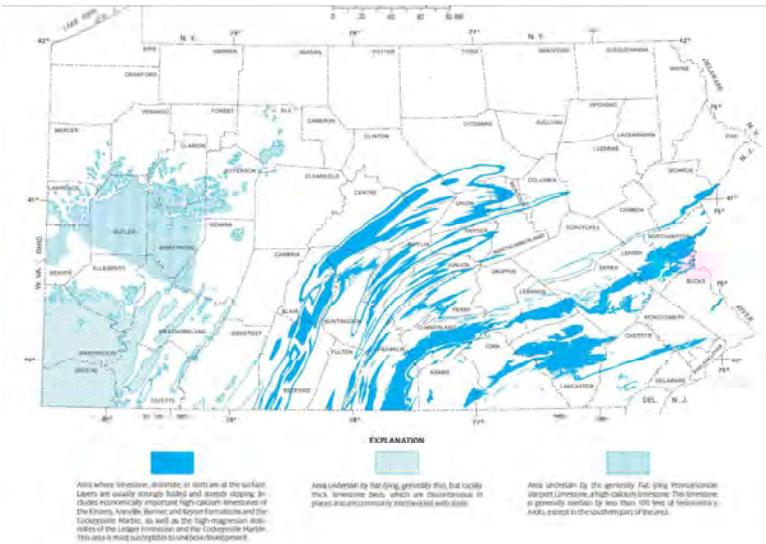
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ASR Workplan – Two Projects

Rich Jucha, P.E. ACPA-PA

SR 119 South of Greensburg

SR 662 Fleetwood



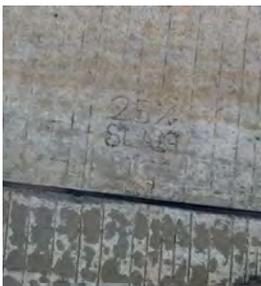
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Three Levels of Mitigation

SCM	Level W	Level X	Level Z
Class F Flyash	15%	25%	35%
Slag Cement	25%	50%	65%



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Strength Gains / Sidewalk Scaling



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Scaling

A great quote:

This is not a finisher problem.
This is not a producer problem.

This is not a specifier problem.

This is an industry problem!

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Reducing Scaling of Concrete Surfaces A STIC Initiative

State Transportation Innovation Council
Construction and Materials TAG

1. Finisher certification:

*ACI Flat Work Finisher or
NRMCA exterior concrete finisher*

2. A Training Module for Construction Insp. (Concrete QIC working on a sidewalk specification)

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*Finisher certification: ACI Flat Work Finisher or
NRMCA exterior concrete finisher*

Clearance Transmittal Issued – Into effect April 2022



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Not just a concern on sidewalks



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What we gained and learned

- We now mitigate smarter
 - Aggregate Availability
 - New cost of mitigation
 - Reduced side effects (scaling & strength gain)
- Mix design preparation and approval
 - ***It is not that hard !!***
- Get everyone at the table from the beginning

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Education is the Key !

Aggregate and Concrete Producers

Mix Design

Aggregate Classification – What does it mean

State DOT's

Mix design approval

Specification revision

Assign proper service life

Adjust Acceptance time – 56 days

Training and **Expectations** of Field Inspection Personnel

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Education is the Key!

Construction Personnel:

Get the information to those who need it.

The Finishers

Critical need for curing to produce durable concrete

Strength Gain Expectations



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Limitations and Expectations

For Pennsylvania AASHTO R80 provided a timely improvement

C1293 provided us benefits over C1260 but it is limited!!

Our current test methods do not match most expectations

ASTM C1260



ASTM C1293



Long Term Exposure Blocks



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Keep all at the table while ASR Knowledge Continues to Advance

- Use of Field History
 - R80 and C1778
- Advantages for Producers
 - Bridge the disconnect
 - A more complete understanding of their material
- Advantage for Specifiers /Owners
 - Reduce the cost of over mitigation in \$ and side effects
 - Improved Aggregate Availability



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Keep all at the table while ASR Knowledge Continues to Advance

- Research on New Test Methods and Materials
 - FHWA T-Fast Method
 - Terry Arnold, FHWA
 - Accelerated Concrete Cylinder Test
 - Anol Mukhopadhyay, Texas Transportation Institute
 - April 2021 ASHTO Publication
 - Alternative Concrete Pozzolans for Transportation Infrastructure
 - Farshad Rajabipour, Penn State

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We will be glad to help

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